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Patent

TECH CENTER Attorney's Docket No. 033136-225
1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of
ANTHONY E. BOLTON, et al.) Group Art Unit: 1619
Application No.: 10/002,630) Examiner: Unassigned
Filed: December 5, 2001) Confirmation No.: 1969
For: TRANSFORMING GROWTH FACTOR)
REGULATION)

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TC 1700

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is a Supplemental Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- No additional fee for submission of an IDS is required.
- The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- A check in the amount of \$_____ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: Lorna L. Tanner
Lorna L. Tanner
Registration No. 50,782

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(650) 622-2300

Date: October 24, 2002



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

1. Patterson, S. "Flexibility and cooperation among dendritic cells." *Nature Immunol.* 1(4): 273-274 (2000).

The documents are being submitted before the first Office Action on the merits, therefore no fee or statement is required under 37 C.F.R. § 1.97(b). In the event that an Office Action is mailed prior to receipt of this paper, the Commissioner is hereby authorized to charge the requisite fees to Deposit Account No. 02-4800.

By citing the above references, Applicants do not acquiesce or admit that any of these documents are "prior art" under 35 U.S.C. Applicants specifically reserve the right, where appropriate, to antedate any of the cited documents by an appropriate showing under 37 C.F.R. § 1.131, § 1.604, § 1.608 or any other suitable means.

Supplemental Information Disclosure Statement
Application No. 10/002,630
Attorney's Docket No. 033136-225
Page 2

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: Lorna L. Tanner
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(650) 622-2300

Date: October 24, 2002



Paper #6

SHEET 1 OF 1

Substitute for form 1449A/PTO

INFORMATION ~~DISCLOSURE~~ STATEMENT BY APPLICANT

ATTORNEY'S DKT NO.
033136-225

APPLICATION NO.
10/002,630

APPLICANT

ANTHONY E. BOLTON, et al.

FILING DATE
December 5, 2001

GROUP
1969/1657

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

NON PATENT LITERATURE DOCUMENTS

| | | |
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| Examiner Initials | <p>Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.</p> | |
| <i>V.A.</i> | <p>PATTERSON, S. "Flexibility and cooperation among dendritic cells." <i>Nature Immunol.</i> 1(4): 273-274 (2000).</p> | |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.